

<b>STATEMENT OF INVESTIGATOR</b> <b>(Non-US sites only)</b> (See instructions on reverse side)				<b>NOTE:</b> No investigator may participate in an investigation until he/she provides the sponsor with a completed, signed Statement of Investigator.
<b>1. NAME AND ADDRESS OF INVESTIGATOR</b>				
Name of Clinical Investigator Jan Erik Berglund, Principal investigator, MD, PhD				
Address 1 CTC Clinical Trial Consultants AB, Dag Hammarskjölds väg 13		Address 2		
City Uppsala	State/Province/Region (if applicable)	Country Sweden	ZIP or Postal Code SE - 752 37	
<b>2. EDUCATION, TRAINING, AND EXPERIENCE THAT QUALIFY THE INVESTIGATOR AS AN EXPERT IN THE CLINICAL INVESTIGATION OF THE DRUG FOR THE USE UNDER INVESTIGATION. ONE OF THE FOLLOWING IS PROVIDED (Select <i>one</i> of the following.)</b> <input checked="" type="checkbox"/> Curriculum Vitae <input type="checkbox"/> Other Statement of Qualifications				
<b>3. NAME AND ADDRESS OF ANY MEDICAL SCHOOL, HOSPITAL, OR OTHER RESEARCH FACILITY WHERE THE CLINICAL INVESTIGATION(S) WILL BE CONDUCTED</b> <span style="float: right;"><b>CONTINUATION PAGE FOR ITEM 3</b></span>				
Name of Medical School, Hospital, or Other Research Facility CTC Clinical Trial Consultants AB				
Address 1 Dag Hammarskjölds väg 13		Address 2		
City Uppsala	State/Province/Region (if applicable)	Country Sweden	ZIP or Postal Code SE - 752 37	
<b>4. NAME AND ADDRESS OF ANY CLINICAL LABORATORY FACILITIES TO BE USED IN THE STUDY</b> <span style="float: right;"><b>CONTINUATION PAGE FOR ITEM 4</b></span>				
Name of Clinical Laboratory Facility ABS Laboratories Limited				
Address 1 BioPark, Broadwater Road		Address 2		
City Welwyn Garden City	State/Province/Region (if applicable)	Country UK	ZIP or Postal Code AL7 3AX	
<b>5. NAME AND ADDRESS OF THE ETHICAL COMMITTEE (EC) THAT IS RESPONSIBLE FOR REVIEW AND APPROVAL OF THE STUDY(IES)</b> <span style="float: right;"><b>CONTINUATION PAGE FOR ITEM 5</b></span>				
Name of EC Regionala Etikprövningsnämnden i Uppsala				
Address 1 Box 1964		Address 2		
City Uppsala	State/Province/Region (if applicable)	Country Sweden	ZIP or Postal Code SE-751 49	
<b>6. NAMES OF SUBINVESTIGATORS (if not applicable enter "None")</b> <span style="float: right;"><b>CONTINUATION PAGE FOR ITEM 6</b></span>				
None				
<b>7. NAME AND CODE NUMBER OF THE STUDY PROTOCOL FOR THE STUDY TO BE CONDUCTED BY THE INVESTIGATOR</b>				
Study Code: SM 17-03.				
Nicotine pharmacokinetics and subjective effects of a single dose of a non-tobacco-based nicotine pouch (ZYN®) compared with conventional, tobacco-based Swedish snus among current, daily snus users.				

**8. THE FOLLOWING CLINICAL PROTOCOL INFORMATION IS PROVIDED**

☐ For Phase 1 investigations, a general outline of the planned investigation including the estimated duration of the study and the maximum number of subjects that will be involved.

☐ For Phase 2 or 3 investigations, an outline of the study protocol including an approximation of the number of subjects to be treated with the drug and the number to be employed as controls, if any; the clinical uses to be investigated; characteristics of subjects by age, sex, and condition; the kind of clinical observations and laboratory tests to be conducted; the estimated duration of the study; and copies or a description of case report forms to be used.

**9. COMMITMENTS**

I agree to conduct the study(ies) in accordance with the ICH Topic E6 [R2] Guideline for GCP and with the principles that have their origin in the Declaration of Helsinki, national legislation that is set forth in the Directive 2001/20/EC, Directive 2005/28/EC and Directive 2001/83/EC [and the Regulation [EU] No 536/2014 when in force] and the General Data Protection Regulation [EU] 2016/679 or equivalent national and/or local law[s].

I agree to conduct the study(ies) with the relevant, current protocol(s) and will only deviate from the protocol when necessary to necessary to protect the safety, rights or welfare of subjects.

I agree to personally conduct or supervise the described investigation(s).

I agree to inform any patients, or any persons used as controls, that the drugs are being used for investigational purposes and I will ensure that the requirements relating to obtaining informed consent and ethical committee (EC) review and approval are met according to local regulations and requirements and as required in the clinical study protocol.

I agree to report to the sponsor adverse experiences that occur in the course of the investigation(s) in accordance with applicable law and the clinical study protocol. I have read and understand the information in the investigator's brochure, including the potential risks and side effects of the drug.

I agree to ensure that all associates, colleagues, and employees assisting in the conduct of the study(es) are informed about their obligations in meeting the above commitments.

I agree to maintain adequate and accurate records and to make those records available for inspection in accordance with the clinical study protocol.

I will ensure that an EC that complies with national and local regulations will be responsible for the initial and continuing review and approval of the clinical investigation. I also agree to promptly report to the EC all changes in the research activity and all unanticipated problems involving risks to human subjects or others. Additionally, I will not make any changes in the research without EC approval, except where necessary to eliminate apparent immediate hazards to human subjects.

**INSTRUCTIONS FOR COMPLETING STATEMENT OF INVESTIGATOR**

1. Complete all sections. Provide a separate page if additional space is needed.
2. Provide curriculum vitae or other statement of qualifications as described in Section 2 if not already provided.
3. Sign and date below.
4. FORWARD THE COMPLETED FORM AND OTHER DOCUMENTS BEING PROVIDED TO THE SPONSOR or their designee. The sponsor will incorporate this information along with other technical data into an Investigational New Drug Application (IND).

Total amount of pages:

10. DATE (dd/mm/yyyy)

05 DEC 2019

(b) (6)